

SEP 02 2005

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
Under 37 CFR 1.97(b) or 1.97(c)

Docket No.
128834-2

In Re Application Of: **Keith M. Borst et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/638,094	08/07/2003	Xu, Ling X.	23413	1775	3383

Title:

DATA STORAGE MEDIUM AND METHOD FOR THE PREPARATION THEREOF

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
128834-2

In Re Application of: **Keith M. Borst et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/638,094	08/07/2003	Xu, Ling X.	23413	1775	3383

Title: **DATA STORAGE MEDIUM AND METHOD FOR THE PREPARATION THEREOF**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))


- ☐ A check in the amount of _____ is attached. **06-1130**
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa
_____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
8/30/2005 (Date)
 Signature of Person Mailing Correspondence
Rebecca L. Blake Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature

J. Michael Buchanan
Registration No. 44,571
Customer No. 23413
Telephone No. 860-286-2929

Dated: **August 30, 2005**

CC:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> SEP 02 2005				Docket Number (Optional) 128834-2		Application Number 10/638,094	
				Applicant(s) Keith M. Borst et al.			
				Filing Date 08/07/2003		Group Art Unit 1775	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,982,546	11/9/1999	Kawamoto et al.			
		5,589,280	12/31/1996	Gibbons et al.			
		4,490,228	12/25/1984	Bodin et al.			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		CA 2099852 A1	1994/01/08	Canada				✓
		CA 2099854 A1	1994/01/08	Canada				✓

OTHER DOCUMENTS			(Including Author, Title, Date, Pertinent Pages, Etc.)
		International Search Report; International Application No. PCT/US2004/024850; International Filing Date 03/08/2004; Date of Mailing: 06/12/2004 (8 pages)	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.